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Investment Company Variable Contracts Limited

The Series 6 exam — the Investment Company and Variable Contracts Products Representative Qualification Examination (IR) — assesses the competency of an entry-level representative to perform their job as an investment company and variable contracts products representative. The exam measures the degree to which each candidate possesses the knowledge needed to perform the critical functions ...

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Series 6 - Investment Company and Variable Contracts ...

The purpose of this class is to help prepare you to pass the Investment Company Products/Variable Contracts Limited Representative Exam (the Series 6). This book will save you time by covering the main points pre- sented in class and serving as a reference when you review for the exam. Extra space is provided for your notes.

Investment Company Products/Variable Contracts Limited ...

In order to obtain the Series 6 license, candidates must pass the Investment Company/Variable Contracts Products Limited Representative (Series 6) exam. The Securities Industry Essentials (SIE)...

Series 6 Definition

The Series 6 license, known as the Investment Company/Variable Contracts Products Limited Representative License, allows you to register as a limited representative with FINRA. With a Series 6 license, you are able to sell mutual funds, variable annuities, variable life insurance, unit investment trusts (UITs), and municipal fund securities.

How to Get a Series 6 License | Series 6 Exam Info ...

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Variable contracts (annuities) and insurance premium funding programs and other contracts issued by an insurance company The Investment Company Act of 1940 regulates companies whose

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primary business is investing, reinvesting, and trading in securities, and who offer their own securities publicly to investors.

Series 6 Exam | Investment Company/Variable Contracts ...

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The Series 26 exam — the Investment Company and Variable contracts Products Principal Qualification Exam (IP) — assesses the competency of an entry-level principal to perform their job as an investment company and variable products principal. The exam measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of an investment company and ...

Series 26 - Investment Company and Variable Contracts ...

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Tips For Passing The Series 6 Exam - Investopedia

In the United States, the investment company products/variable life contracts representative exam, is commonly referred to as the Series 6 exam. Individuals passing this multiple choice exam are licensed to sell a limited set of securities products: Mutual funds; Closed-end funds on the initial offering only; Unit investment trusts; Variable Annuities

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Series 6 exam - Wikipedia

Investment Company Products/Variable Contracts Limited Principal - (FINRA Series 26) - Salary - Get a free salary comparison based on job title, skills, experience and education. Accurate ...

Investment Company Products/Variable Contracts Limited ...

While some tests are looking to see what you are ABLE to learn, the purpose of the Investment Company Products/Variable Contracts Limited Representative Qualification Examination, offered by the Financial Industry Regulatory Authority (FINRA), is to test your understanding of what you have already learned. The goal of the Series 6 Test is to ...

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Kaplan Series 6 Securities License Exam Manual, Investment ...

A Series 26 license will qualify you to function as a principal for the solicitation, purchase, and/or sale of redeemable securities of companies registered pursuant to the Investment Company Act of 1940; securities of closed-end companies registered pursuant to the Investment Company Act of 1940 during the period of original distribution only; and variable contracts and insurance premium ...

Series 26 - Investment Company Products/Variable Contracts ...

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The Investment Company Products / Variable Contracts Limited Principal Qualification Examination This edition published in 2013 by Wiley & Sons, Incorporated, John Classifications

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Start studying Series 6 - Unit 2: Product Information, Investment Company Securities, and Variable Contracts. Learn vocabulary, terms, and more with flashcards, games, and other study tools.

Series 6 - Unit 2: Product Information, Investment Company ...

In addition to passing the Series 6 Exam, an agent may be required to pass a state life insurance exam to transact business in variable contracts. Created by the experts at The Securities Institute of America, Inc., Wiley Series 6 Exam Review 2016 arms you with what you need to score high on this tough 100-question test.

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The Series 7 exam, also known as the General Securities Representative Exam (GSRE), is a test for entry-level registered representatives. The Financial Industry Regulatory Authority (FINRA) administers the exam. In the United States, financial professionals take this test to become qualified to purchase and/or sell security products such as: corporate securities, municipal fund securities ...

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